#### PATENT COOPERATION TREATY

# **PCT**

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#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	ON See Form P	CT/IPEA/416
International application No.	International filing date (d	ay/month/year)	Priority date (day/month/year)
PCT/NO2004/000255	30.08.2004		01.09.2003
International Patent Classification (IPC) o	r national classification and	IPC	
See Supplemental Box			
Applicant			
Fossura AS et al			
1. This report is the international pro- Authority under Article 35 and tr			is International Preliminary Examining 36.
2. This REPORT consists of a total	of 6 sheets,	including this cove	r sheet.
3. This report is also accompanied b	by ANNEXES, comprising:		
a. (sent to the applicant	t and to the International Bi	ureau) a total of	sheets, as follows:
and/or sheets			e'been amended and are the basis of this report thority (see Rule 70.16 and Section 607 of the
<u> </u>		it which this Author	rity considers contain an amendment that goes
beyond the d	lisclosure in the internationa		d, as indicated in item 4 of Box No. I and the
Supplementa	a Box.		
b (sent to the Internati	ional Bureau only) a total of	(indicate type and	number of electronic carrier(s))
form only as indicat	· · · · · · · · · · · · · · · · · · ·		and/or tables related thereto, in electronic ace Listing (see Section 802 of the
Administrative Instr		. Relating to beque	ace lasting (see Section 802 or the
4. This report contains indications r	relating to the following iten	as:	
Box No. I Basis of	of the report		
Box No. II Priorit	у		
Box No. III Non-es	stablishment of opinion with	n regard to novelty,	inventive step and industrial applicability
Box No. IV Lack of	of unity of invention		
			o novelty, inventive step or industrial
	ability; citations and explan n documents cited	ations supporting st	ich statement
	n defects in the international	l application	
	n observations on the intern	••	
Date of submission of the demand		Date of completion	n of this report
18.03.2005		13.12.200	5
Name and mailing address of the IPEA/S	SE	Authorized officer	
Patent- och registreringsverket Box 5055	t		
S-102 42 STOCKHOLM	. ~ ~	Carl Fröd	erberg/EK
Facsimile No. +46 8 667 72 88			6 8 782 25 00

Form PCT/IPEA/409 (cover sheet) (April 2005)

International application No.

PCT/NO2004/000255

Box	No. I	Basis of the report
1.	With r	egard to the language, this report is based on:
·		the international application in the language in which it was filed
		a translation of the international application into
		which is the language of a translation furnished for the purposes of:
		international search (Rules 12.3(a) and 23.1(b))
		publication of the international application (Rule 12.4(a))
		international preliminary examination (Rules 55.2(a) and/or 55.3(a))
2.	jurnisi	regard to the elements of the international application, this report is based on (replacement sheets which have been hed to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" se not annexed to this report):
		the international application as originally filed/furnished
	$\boxtimes$	the description:
		pages 1-10 as originally filed/furnished
		pages* received by this Authority on  pages* received by this Authority on
	$\square$	pages* received by this Authority on the claims:
		pages as originally filed/furnished pages* as amended (together with any statement) under Article 19
		pages* 1-3 received by this Authority on 26-07-2005
		pages* received by this Authority on
	$\boxtimes$	the drawings:
		pages as originally filed/furnished
		pages* received by this Authority on received by this Authority on
		a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.
		and the second and the second of the second
3.		The amendments have resulted in the cancellation of:
		the description, pages
		the claims, Nos.
		the drawings, sheets/figs
		the sequence listing (specify):
		any table(s) related to the sequence listing (specify):
4.		This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
		the description, pages
		the claims, Nos.
		the drawings, sheets/figs
		the sequence listing (specify):
		any table(s) related to the sequence listing (specify):
**	If item	4 applies, some or all of those sheets may be marked "superseded."

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Box No. II Priority
1. This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit the requested:
copy of the earlier application whose priority has been claimed (Rule 66.7(a)).
translation of the earlier application whose priority has been claimed (Rule 66.7(b)).
This report has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rule 64.1). Thus for the purposes of this report, the international filing date indicated above is considered to be the relevant date.
3. Additional observations, if necessary:
The priority is considered valid. Therefore, document US2004/0010947 Al is of no relevance for this report.

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Statement			•
Novelty (N)	Claims Claims	1-23	YES NO
Inventive step (IS)	Claims Claims	1-23	YES NO
Industrial applicability (IA)	Claims Claims	1-23	YES NO

2. Citations and explanations (Rule 70.7)

Documents cited in the International Search Report:

D1: US 4631844 D2: US 4418484 D3: US 2952083 D4: US 6449883

D5: US 5970635

D6: GB 1262660

The invention relates to a subsea excavation and suction device for complete submersion comprising a suction head that comprises both hydraulic and mechanic means to disintegrate solid material. The invention has been restricted by the amended first claim filed with the letter of 2005-07-26. Particularly by adding to claim 1 that the subsea excavation and suction device shall be completely submerged. This makes it unobvious to a person skilled in the art to modify the underwater dredging system in D1 in such a way that the claimed invention according to the amended first claim is obtained.

The cited documents represent the general state of the art and the invention defined in amended claims 1-23 is not disclosed by any of these documents.

Accordingly, the invention defined in the amended claims 1-23 is novel and is considered to involve an inventive step. The invention is industrially applicable.

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Box No. VI	Certain documents cited			
1. Certain p	published documents (Rule 70.	.10)	<u> </u>	
	Application No. Patent No.	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
US2004	/0010947 A1,E	22/01/2004	19/07/2002	
				<u>.</u>
2. Non-wr	itten disclosures (Rule 70.9)			Date of written disclosure
2. Non-wr	itten disclosures (Rule 70.9) Kind of non-written disclos		written disclosure	Date of written disclosure referring to non-written disclosure (day/month/year)
2. Non-wr				referring to non-written disclosure
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#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Cover sheet

INTERNATIONAL PATENT CLASSIFICATION (IPC)

E02F 3/88 (2006.01) E02F 3/90 (2006.01)

Form PCT/IPEA/409 (Supplemental Box) (April 2005)

2 6 -07- 2005

#### Claims

- 1. Subsea excavation and suction device for complete submersion comprising a suction head (1) that is movably attached to a hydraulic controller arm (13) and has an inlet opening (3) at a free, outer end and an outlet opening (12) attached to a suction hose (10) arranged at a distance from the inlet opening (3), said suction head (1) having means for disintegration solid material and having a larger cross-sectional area at the inlet opening (3) than at the outlet opening (12), characterized in that the suction head (1) comprises both hydraulic and mechanic means to disintegrate solid material, where the hydraulic means comprises a number of primary jet nozzles arranged along the edge (6) surrounding the inlet opening (3) and having fluid communication with a source of pressurized liquid while the mechanic means comprises at least one bar (4, 5) dividing the inlet opening (3) into inlet sections (3i), said at least one bar being shaped and dimensioned to effect a mechanic disintegration of solid material (sediment).
- 2. Device as claimed in claim 1, characterized in that at least one of the edges  $(6_1-6_4)$  is shaped and dimensioned to act to mechanically disintegrate solid material.
- 3. Device as claimed in claim 2, characterized in that all edges  $(6_1-6_4)$  are shaped and dimensioned to act to mechanically disintegrate solid material.
- 4. Device as claimed in anyone of claims 1-3, characterized in that a number of the primary jet nozzles (7) are arranged to purge in a direction substantially straight ahead from the inlet opening (3), i.e. in a direction mainly opposite to the direction of movement of material (sediment) being sucked into the inlet opening (3).
- 5. Device as claimed in anyone of claims 1-4, characterized in that a number of the primary jet nozzles (7) are arranged parallel with each other and arranged so close to one another that a substantially smooth cutting edge in the sediment is obtained during use.
- 6. Device as claimed in anyone of claims 1-5, characterized in that a number of secondary jet nozzles (15) are arranged within the suction head (1) to further disintegration of sediment, said secondary jet nozzles (15) having fluid connection with a pressurized liquid and being arranged mainly perpendicular to the direction of movement for the sediment being sucked into the inlet opening (3).
- 7. Device as claimed in anyone of claims 1-6, characterized in that at least some of the primary (7) and/or secondary jet nozzles (15) are comprised by holes bored along a line in parts of the supply pipe (18) for liquid from the mentioned pressurized liquid source.

- 8. Device as claimed in anyone of claims 1-7, characterized in that at least some of the primary nozzles (7) are arranged in wedge-like teeth (16) that extend from around the inlet opening (3) of the suction head.
- 9. Device as claimed in anyone of claims 1-7, characterized in that at least some of the primary nozzles (7) are arranged in a wedge-like edge that extends from around the inlet opening of the suction head.
- 10. Device as claimed in anyone of the preceding claims, characterized in that at least some of the bars (4, 5) are provided with primary jet nozzles (7).
- 11. Device as claimed in anyone of the preceding claims, characterized in that the cross-sectional area of said inlet sections (3i) are substantially equal and not larger than the cross-sectional area of the outlet opening (12).
- 12. Device as claimed in anyone of the preceding claims, characterized in that said bars (4 or 5) divide the inlet opening (3) of the suction head(1) into sections in a grid pattern in one direction.
- 13. Device as claimed in anyone of the preceding claims, characterized in that said bars (4 or 5) divide the inlet opening (3) of the suction head(1) into sections in a grid pattern in two directions.
- 14. Device as claimed in anyone of the preceding claims, characterized in that secondary nozzles (15) for proving jet streams mainly across the direction of movement of solid material being sucked into suction head (1) are arranged near outlet opening (12) in suction head (1).
- 15. Device as claimed in anyone of the preceding claims, characterized in that a backflush nozzle is arranged near the outlet opening (12) in order to be able to temporarily reverse the direction of transportation trough suction hose (10).
- 16. Device as claimed in anyone of the preceding claims, characterized in that the suction hose (10) is provided with a sideways opening or valve that opens at a predetermined underpressure, so that the suction force and thereby the risk of clogging is reduced.
- 17. Device as claimed in anyone of the preceding claims, characterized in that the inlet opening (3) of the suction had (1) has a cross-sectional area that is chosen so that the average water velocity through inlet opening (3) is at least 30% of the water velocity through outlet opening (12).
- 18. Device as claimed in anyone of claims 1-17, characterized in that the inlet opening (3) of the suction had (1) has a cross-sectional area that is chosen so that the average water velocity through inlet opening (3) is at least 50% of the water velocity through outlet opening (12).
- 19. Device as claimed in anyone of the preceding claims, characterized in that the hydraulic controller arm (13) has an outer telescopic arm for linear conveying of the suction head.

# AMENDED SHEET

- 20. Device as claimed in anyone of the preceding claims, characterized in that the hydraulic controller arm (13) has a movability that allows the suction head (1) to be moved sideways or rotated.
- 21. Device as claimed in anyone of the preceding claims, characterized in that the suction force in the suction head (10) is provided by means of an ejector with one or more ejector nozzles arranged angularly (aslant) outside the cross-section of the suction hose (10).
- 22. Device as claimed in anyone of the preceding claims, characterized in that the device is mounted on or comprises a full track chassis (22).
- 23. Device as claimed in claim 22, characterized in that the chassis (22) comprises a platform (23) which is pivotal about a gear rim or the like.